

FORM PTO-1390  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

NEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATE  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

2527P1US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**09/380336**

INTERNATIONAL APPLICATION NO  
PCT/EP98/01287

INTERNATIONAL FILING DATE  
28 February 1998

PRIORITY DATE CLAIMED  
3 March 1997

TITLE OF INVENTION **DIAGNOSTIC NEODYMIUM (III) YTTERBIUM(III) OR ERBIUM(III)  
ION-LIGAND COMPLEXES**

APPLICANT(S) FOR DO/EO/US  
**Johannes Willem HOFSTRAAT**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C.371(b) and PCT Articles 22 and 39(1)
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19(35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unsigned**)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

1. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
2. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
3. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
4. ☐ A substitute specification.
5. ☐ A change of power of attorney and/or address letter.
6. ☒ Other items or information:
  1. International Preliminary Examination Report dated 15 March, 1999
  2. Copy of Abstract
  3. Drawing

Express Mail Label No.: EL434315322US

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 970.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ --

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	10 - 20 =	--	X \$18.00
Independent claims	2 - 3 =		X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00

\$260.00

**TOTAL OF ABOVE CALCULATIONS =**

\$1,230.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ --

**SUBTOTAL =**

\$ 1,230.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ --

**TOTAL NATIONAL FEE =**

\$1,230.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ ---

**TOTAL FEES ENCLOSED =**

\$1,230.00

Amount to be:

refunded

\$

charged

\$

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 01-1350 in the amount of \$1,230.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-1350. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Richard P. Fennelly  
Akzo Nobel Inc.  
Intellectual Property Dept.  
7 Livingstone Avenue  
Dobbs Ferry, NY 10522-3408

SIGNATURE:

Richard P. Fennelly

NAME

25,677

REGISTRATION NUMBER  
September 3, 1999

08 SEP 1999  
09/380336

426 Rec'd PCT/PTO 03 SEP 1999  
09/380336

(Rel.71-6/97 Pub.615)

FORM 13-12

13-117

Practitioner's Docket No. AEM2527P1US

**IN THE UNITED STATES**

- ☐ RECEIVING OFFICE (RO/US)  
☐ DESIGNATED OFFICE (DO/US)  
☒ ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP98/01287	February 28, 1998	March 3, 1997
TITLE OF INVENTION <u>DIAGNOSTIC NEODYMIUM(III) YTTERBIUM(III) OR ERBIUM(III) ION-LIGAND COMPLEXES</u>		
APPLICANT(S) <u>Johannes Willem HOFSTRAAT</u>		

**Box PCT**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE**  
**(INTERNATIONAL APPLICATION (37 CFR 1.10(c))**

I declare that, on September 3, 1999, I deposited, with the United States Postal Service, in an envelope "Express Mail, Post Office to Addressee," bearing Label Number EL434315322US, addressed to the "Assistant Commissioner for Patents, Washington, D.C. 20231," and having an express mail certification that I executed, the following papers:

1. FORM PTO-1390 (duplicate)
2. International Preliminary Examination Report
3. Preliminary Amendment
4. Copy of Abstract
5. Declaration and Power of Attorney (unsigned copy)
6. Drawing
7. Return Receipt Postcard

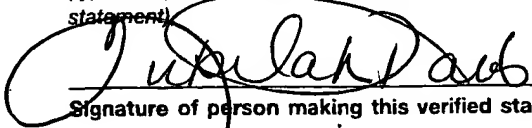
A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Qubilah A. Davis

(typed or printed name of person making this verified statement)

Date September 3, 1999

  
Signature of person making this verified statement